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PARTMENT OF COMMERCE

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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/758,644

01/15/2004

Peter Wernet

07588/026003

21559 CLARK & ELBING LLP 101 FEDERAL STREET BOSTON, MA 02110



CONFIRMATION NO. 5815
FORMALITIES LETTER
\*OC000000014866935\*

Date Mailed: 01/03/2005

# NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

#### Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 08/23/2004 to the Notice to File Missing Parts (Notice) mailed 01/03/2005 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application. Replies should be mailed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

• Late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 was not received.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52, 1.121(b)(3), and 1.125, is required. The
  specification, claims, or abstract page(s) submitted is not acceptable and cannot be scanned or properly
  stored because:
  - Papers must be legibly written either by a typewriter or mechanical printer in permanent ink or its equivalent in portrait orientation on flexible, strong, smooth, non-shiny, durable, and white paper. Application papers must be presented in a form having sufficient clarity and contrast between the paper and the writing thereon to permit the direct reproduction of readily legible copies in any number by use of photographic, electrostatic, photo-offset, and microfilming processes and electronic reproduction by use of digital imaging and optical character recognition. Pages 4-5, 9-10, 13-14, 19-20, 22, 25-26 are not in compliance with 37 CFR 1.52(a).
- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:

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■ The drawings must be reasonably free from erasures and must be free from alterations, overwriting, interlineations, folds, and copy marks. See Figure(s) 3-4, 5B-6, 9C, 9D, 10-11A, 15-17, 18A.

### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$65 for a Small Entity

• \$65 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

**Commissioner for Patents** 

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE





Certificate of Mailing: Date of Deposit: 3/3/05

I hereby certify under 37 C.F.R. § 1.8(a) that this correspondence is being deposited with the United States Postal Service as **first class mail** with sufficient postage on the date indicated above and is addressed to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Kim LaSelva

Printed name of person mailing correspondence

Kim La Jelie

Signature of person mailing correspondence

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Peter Wernet

Art Unit:

Not yet assigned

Serial No.:

10/758,644

Examiner:

Not yet assigned

Filed:

January 15, 2004

Customer No.:

21559

Title:

HUMAN CORD BLOOD DERIVED UNRESTRICTED SOMATIC

STEM CELLS (USSC)

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## REPLY TO NOTICE OF INCOMPLETE REPLY

In reply to the Notice of Incomplete Reply that was mailed in connection with the above-captioned application on January 3, 2005, a copy of which is enclosed, Applicant, as a small entity, submits herewith the following:

- Payment of the surcharge of \$65.00 for late filing of the declaration;
- •a substitute specification consisting of 26 pages; and
- •19 sheets of Formal Drawings.

Please replace pages 1-26 of the specification as filed with pages 1-26 of the substitute specification submitted herewith. The substitute specification is provided to replace the application as filed, which was deemed by the Office as being in a form that was not acceptable for scanning. Applicant notes that a marked-up copy of the specification is not provided because no changes to the specification were made.

Applicant submits that the substitute specification is in compliance with 37 C.F.R. §§ 1.52, 1.121(b)(3), and 1.125. As required by 37 C.F.R. § 1.125(b)(1), I hereby state that this submission contains no new matter.

If there are any additional charges or any credits, please apply them to Deposit Account No. 03-2095.

Date: March 3, 2005

Respectfully submitted,

Paul T. Clark

Reg. No. 30,162

Clark & Elbing LLP 101 Federal Street

Boston, MA 02110

Telephone: 617-428-0200

Facsimile: 617-428-7045